

New York City and Live Webcast, www.pli.edu, March 23, 2015, 1:30 p.m. – 5:00 p.m.

Why You Should Attend

In many ways, 2014 was the year that highlighted privacy and security issues involving electronic information. As revelations of massive data breaches and the loss of private information became public, both regulators and corporate entities grappled with the consequences of those revelations and the investigations that followed. At the same time, regulators and corporations continued to deal with the challenges of the ever-increasing volumes of electronic information that are relevant to all types of government investigations. These important trends will continue in 2015 and beyond.

Our faculty, which includes judges, regulators, and attorneys experienced in government investigations, will address these issues as well as the “how and why” of government investigations and corporate responses. They will use panel presentations on general topics as well as specific case studies to guide you through this dynamic and growing field of law.

What You Will Learn

- What triggers a government investigation?
- What is the scope of a government investigation?
- What are the limitations on a government investigation?
- What might the government demand in an investigation and how might a corporate entity respond to such a demand?
- What room for negotiation exists between a corporate entity and the government?
- What is the role of — and relationship between — parallel civil proceedings and government investigations, and how might they be coordinated?

Who Should Attend

This program will be of interest to in-house and retained counsel, corporate officers and other personnel, consultants, and service providers who find themselves involved in investigations by federal or state regulators, as well as in parallel civil proceedings. Likewise, the program will be of great benefit to the staff of agencies who conduct investigations as well as attorneys who litigate parallel proceedings as either plaintiffs or defendants.

**Reserve your place today,
call (800) 260-4PLI or visit
www.pli.edu.**

Priority Code: PHV5 FLY15

**Please plan to arrive with enough time
to register before the conference begins.*

Program Schedule*

1:30

Opening Remarks and Information

Ronald J. Hedges

1:45

Scope and Limits of Government Investigations of Data Breaches

- What sort of conduct might give rise to a privacy investigation?
- What information do regulators typically seek in a privacy investigation?
- What remedies might the government seek when security problems are uncovered?
- Case Study: Investigating Social Media and the “Internet of Things”

Moderator: Maura R. Grossman

Panelists: Samantha Green, Tracy Greer, Deborah Morris, David C. Shonka, Allison Stanton, Hon. Thomas Vanaskie

3:15 Networking Break

3:30

Responding to Government Investigations and Handling Parallel Proceedings

- Negotiating with regulators at the discovery stage of an investigation: who, when, why and how?
- How might a subject produce electronic information in response to a government demand?
- What judicial remedies exist for the government and the subject?
- What protection might a subject have from waiver of attorney-client privilege or work-product protection?
- How do parallel proceedings fit with investigations?
- Case Study: A Hypothetical Transnational Antitrust Investigation

Moderator: Jeane A. Thomas

Panelists: Celeste Chase, Manfred J. Gabriel, Anthony Galioto, Ignatius A. Grande, Maura R. Grossman, Joseph P. Guglielmo, Christine Ryall, David C. Shonka

5:00 Program Adjourns

New York City, #59076; Program Fee: \$895

Faculty

Chair:

Ronald J. Hedges
Ronald J. Hedges, LLP
Hackensack, New Jersey

Celeste A. Chase
Assistant Regional Director,
Division of Enforcement
U.S. Securities and
Exchange Commission
New York City

Manfred J. Gabriel
Principal
KPMG LLP/Forensic
Technology Services
New York City

Anthony J. Galioto
Assistant General Counsel
Head of Enforcement & Investigations
The Bank of New York Mellon
New York City

Ignatius A. Grande
Senior Discovery Attorney/
Director of Practice Support
Hughes Hubbard & Reed LLP
New York City

Samantha Green
E-Discovery Counsel
DTI Global
New York City

Tracy Greer
Senior Litigation Counsel
for Electronic Discovery
Antitrust Division,
U.S. Department of Justice
New York City

Maura R. Grossman
Wachtell, Lipton, Rosen & Katz
New York City

Joseph P. Guglielmo
SCOTT + SCOTT, Attorneys at Law LLP
New York City

Christine M. Ryall
Chief Trial Attorney
Division of Enforcement
U.S. Commodity Futures
Trading Commission
Washington, D.C.

David C. Shonka
Principal Deputy General Counsel
Federal Trade Commission
Washington, D.C.

Allison C. Stanton
Director of E-Discovery,
FOIA, and Records
Office of the Assistant
Attorney General
U.S. Department of Justice,
Civil Division
Washington, D.C.

Jeane A. Thomas
Crowell & Moring LLP
Washington, D.C.

Hon. Thomas I. Vanaskie
US Court of Appeals for
the Third Circuit
Philadelphia

Program Attorney: Laurie Gilbertson